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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/503,758	02/14/2000	William G. Thilly	2909.1000-004	7123

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EXAMINER

STRZELECKA, TERESA E

ART UNIT	PAPER NUMBER
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1637

DATE MAILED: 03/19/2002

*18*

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

09/503,758

Applicant(s)

THILLY, WILLIAM G.

Examiner

Teresa E Strzelecka

Art Unit

1637

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 14 January 2002.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-60 is/are pending in the application.
- 4a) Of the above claim(s) 1-22, 24, 29-32 and 34-58 is/are withdrawn from consideration.
- 5) ☒ Claim(s) 23 and 59 is/are allowed.
- 6) ☒ Claim(s) 25, 33 and 60 is/are rejected.
- 7) ☒ Claim(s) 26-28 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

**Priority under 35 U.S.C. §§ 119 and 120**

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

**Attachment(s)**

- 1) ☐ Notice of References Cited (PTO-892)
- 2) ☒ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

### **DETAILED ACTION**

1. This Office action is a response to an amendment filed on January 14, 2002. Supplemental specification which was included with the amendment was entered into the case.

Rejection of claims 23, 25-28, 33, 59 and 60 are withdrawn after consideration of the declaration by Dr. Thilly.

2. New grounds for rejection were found in the enclosed IDS reference for claims 25 and 33.

#### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

4. Claims 25 and 33 are rejected under 35 U.S.C. 102(b) as being anticipated by Kervinen et al. (Atherosclerosis, vol. 105, pp. 89-95, 1994).

Kervinen et al. teach identification of a harmful allele of apolipoprotein E (apo E) and apolipoprotein B (apo B) by determining the frequency of apo E and apo B polymorphisms in populations of young adults, middle-aged adults and nonagenarians. The frequencies of apo E  $\epsilon$ 4 allele and of apoB EcoRI R- allele were found to be significantly lower in nonagenarians than in young or middle –aged adults, indicating that the presence of these alleles suggests increased risk for coronary heart disease (Abstract; Fig. 2; Table 4; page 93, 94).

#### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claim 60 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kervinen et al. as applied to claim 25 above, and further in view of Khrapko et al. (1) (Nucl. Acids Res. Vol. 25, pp. 685-693, 1997) and Khrapko et al. (2) (Nucl. Acids Res. Vol. 22, pp. 364-369, 1994).

A) Claim 60 is drawn to identifying point mutations by amplifying a region of a target gene from a pool of DNA fragments isolated from a population, melting and reannealing the PCR products to form a mixture of homo- and heteroduplexes containing point mutations, separating homo- from heteroduplexes and recovering heteroduplexes, amplifying the heteroduplex fragments to produce homoduplex wild-type DNA and homoduplex DNA containing the point mutations, resolving and recovering the DNAs which contain point mutations and sequencing the DNAs to identify the point mutations.

B) Kervinen et al. do not teach using constant denaturant capillary electrophoresis (CDCE) combined with high-fidelity PCR to determine point mutations in DNA samples from populations.

C) Khrapko et al. (1) teach a method of determining point mutations in a DNA sample at a fraction of  $10^{-6}$  or above using constant denaturant capillary electrophoresis (CDCE) combined with high-fidelity PCR. The method comprises the following steps:

- a) restriction digest of DNA isolated from cells to obtain a 200 bp DNA fragment with low temperature and high temperature isomelting domains,
- b) enrichment of mutant sequences by constant denaturant gel electrophoresis (CDGE),
- c) high fidelity PCR amplification resulting in fluorescently labeled products, using *Pfu* polymerase, which has an error rate of  $2 \times 10^{-6}$  errors per base per doubling,

- d) separation of PCR heteroduplexes from homoduplexes by CDCE and collection of the heteroduplexes,
- e) another round of high fidelity PCR in which mutant heteroduplexes are converted into homoduplexes by stopping the PCR reaction when the molar amount of unused primers still exceeds the molar amount of the products,
- f) another round of CDCE separation of the homoduplexes,
- g) isolation and sequencing of the mutants. (Fig. 1; page 686-689).

Khrapko et al. (2) teach that prior to CDCE separation the DNA fragments are boiled and reannealed, resulting in a mixture of homoduplexes and heteroduplexes, which are then separated based on the differences in their melting temperature in a CDCE capillary column (page 365, paragraphs 6-9; page 366; Fig. 3, 4).

It would have been *prima facie* obvious to one of ordinary skill in the art at the time of the invention to have used the point mutation detection method of Khrapko et al. (1) and (2) in the method of mutation detection of Kervinen et al.. The motivation to do so, expressly provided by Khrapko et al., would have been that combining CDCE with high fidelity PCR permitted detection of low frequency mutations.

5. No references were found teaching or suggesting claims 23, 26-28 and 59. Claims 26-28 are objected to as being dependent on the rejected claim 25.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Teresa E Strzelecka whose telephone number is (703) 306-5877. The examiner can normally be reached on M-F (8:30-5:30).

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gary Benzion can be reached at (703) 308-1119. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 308-4242 for regular communications and (703) 305-3014 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 308-0196.

TS  
March 15, 2002

TS

  
KENNETH R. HORLICK, PH.D  
PRIMARY EXAMINER

3/18/02